

PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Julio Boza
Olivier Ballevre
Paul-Andre Finot**

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **November 22 & December 5, 2000**

2. Name and address of receiving party(ies):

Name: **Nestec S.A.**

Internal Address: _____

Street Address: **Avenue Nestle 55**

CH-1800 Vevey, Switzerland

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

Serial No. **09/646,748**

Atty Docket No. **112701-036**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Robert M. Barrett, Esq.**

Internal Address: _____

Street Address: **P.O. Box 1135**

City: **Chicago** State: **IL** ZIP: **60690**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

02-1818

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert M. Barrett (Reg. No. 30,142)

December 6, 2000

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **3**

No 6303/US

ASSIGNMENT


FOR and in consideration of One Dollar (\$1.00) to us in hand paid and other valuable considerations, the receipt and sufficiency whereof are hereby acknowledged and confessed, we, Julio Boza; Olivier Balleve; and Paul-André Finot, residing at 2, chemin de la Colline, CH-1093 La Convulsion-Lutry, Switzerland; 16B, route de Colonnex, CH-1000 Lausanne 25, Switzerland; and 12, chemin Emile Javelle, CH-1800 Vevey, Switzerland, respectively, and citizens of Spain, France, and France, respectively, hereby sell, assign, transfer and set over unto Nestec S.A., a corporation of Switzerland, having an office and place of business at Avenue Nestle 35, CH-1800 Vevey, Switzerland, the entire right, title and interest in and to our inventions in

"METHOD FOR PROVIDING GLUTAMINE"

prepared by the firm of Bell, Boyd & Lloyd LLC of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. 112701-036; and in the United States Patent and Trademark Office as Serial No. 09/646,748; and to the United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said inventions in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent; and we hereby authorize and direct the Commissioner of Patents to issue the patent for said inventions, when granted, in accordance with this Assignment and sale.


For the same considerations, we hereby agree that we will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning improvements or betterments of the inventions disclosed in said application, and we will cooperate at any time upon request of said assignee or its assigns, at its or their expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional continuing and reissue applications; we will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns, and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

IN WITNESS WHEREOF, we have hereunto subscribed our name and affixed our seal
at:

Date: December 5th, 2000  (SEAL)
JULIO BOZA

Before me personally appeared Julio Boza known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under his seal, for the uses and purposes therein set forth, on the day and year aforesaid.

X 
(Witness) JURISTO FONOLLA

Date: November 22nd, 2000  (SEAL)
OLIVIER BALLEVRE

Before me personally appeared Olivier Ballevre known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.

X 
(Witness) BRENDA VARET

Date: Nov. 22, 2000  (SEAL)
PAUL-ANDRE FINOT

Before me personally appeared Paul-Andre Finot known to me to be the person who executed the foregoing instrument, and acknowledged the execution and delivery thereof, under her seal, for the uses and purposes therein set forth, on the day and year aforesaid.

X 
(Witness) P. Finot.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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MARCH 08, 2001

PTAS

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BOZA, JULIO

DOC DATE: 12/05/2000

ASSIGNOR:
BALLEVRE, OLIVIER

DOC DATE: 11/22/2000

ASSIGNOR:
FINOT, PAUL-ANDRE

DOC DATE: 11/22/2000

ASSIGNEE:
NESTEC S.A.
AVENUE NESTLE 55
CH-1800 VEVEY, SWITZERLAND

SERIAL NUMBER: 09646748
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

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BELL, BOYD & LLOYD
INTELLECTUAL PROPERTY DOCKET

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ATTY: RMB
DOCKET #: 112701-036

011372/0309 PAGE 2

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